

**Sample Form for Prescribed Document Accompanying  
GMOs Intended for Contained Use**

(Specified forms for documentation are not mandatory. Any documents, such as commercial invoices or import/export manifests, which contain the required information, could satisfy the documentation requirements under the Regulation)

This shipment contains a GMO that is intended for contained use.

<p style="text-align: center;"><b><u>Exporter</u></b> <sup>Note 1</sup></p> <p>Name: ABC Company Ltd. Address: 12345 ABC Road Cambridge, MA USA Phone: (314) 987-6543</p>	<p style="text-align: center;"><b><u>Importer</u></b> <sup>Note 2</sup></p> <p>Name: Professor Paul Chan Address: GMO Lab, Science Building XYZ University Hong Kong Phone: (852) 2233-4567</p>
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<p style="text-align: center;"><b><u>Consignee</u></b></p> <p>Name: David Lee Address: Departmental Office of Biology XYZ University, Hong Kong Phone: (852) 123-4567</p>	<p style="text-align: center;"><b><u>Identity of the GMO</u></b></p> <p>Common name: Arabidopsis Scientific name: <i>Arabidopsis thaliana</i> Commercial name <sup>Note 3</sup>: -</p>
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<p style="text-align: center;"><b><u>New or Modified Traits and Characteristics of the GMO</u></b></p> <p>Transformation event code <sup>Note 4</sup>: RE-01 Unique identifier code <sup>Note 5</sup>: - Risk class <sup>Note 6</sup>: - Specification of Use: The GMO will be used to study the extraction method of myristic acid.</p>
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<p style="text-align: center;"><b><u>Safety Requirements Applicable to the GMO</u></b></p> <p><b>(a) Applicable existing international instrument</b> <sup>Note 7</sup>:</p> <p>International Plant Protection Convention <u>International Standards for Phytosanitary Measures (ISPM No. 11)</u> Pest Risk Analysis for Quarantine Pests Including Analysis of Environmental Risks and Living Modified Organisms.</p> <p>No significant risk was observed according to the pest risk analysis undertaken for the GMO.</p>
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**(b) Ordinance**<sup>Note 7</sup>:

The Hong Kong Legislation Chapter 207 Plant (Importation and Pest Control) Ordinance Section 4(3):

Subject to section 5, no person shall import any plant other than a plant specified in the First Schedule unless-

- (a) it is imported subject to the conditions of a plant import licence issued in respect thereof; and
- (b) it is accompanied by a valid phytosanitary certificate.

Plant import license and phytosanitary certificates for the GMO are attached.

**(c) Agreement entered into by the importer and exporter of the GMO**

Not to be used for human consumption or animal feed, commercial sale or unauthorized transfers.

Note:

- 1 This information is not required if the GMO is imported into Hong Kong and the details of the overseas exporter is not available.
- 2 This information is not required if the GMO is exported from Hong Kong and the details of the overseas importer is not available.
- 3 This information is not required if the GMO's commercial name is not available.
- 4 This information is not required if the GMO's transformation event code is not available.
- 5 This information is not required if the GMO's unique identifier code is not available.
- 6 This information is not required if the GMO's risk class is not available.
- 7 If there is no such requirement, then provide a statement to that effect.  
"safety requirement", in relation to a GMO imported into or exported from Hong Kong, means any requirement for the safe handling, storage, transport or use of the GMO under—
  - (a) any applicable existing international instrument;
  - (b) any Ordinance; or
  - (c) any agreement entered into by the importer and exporter of the GMO.