Secretariat Use Only	
Application No.:	



Sustainable Fisheries Development Fund

Application Form

Important Notes

- 1. Please read the Sustainable Fisheries Development Fund-Application Guidelines carefully before completing this form, and provide all details of the project when submitting the form. If in doubt, please approach the Sustainable Fisheries Development Fund (Fund) Secretariat (Secretariat) for enquiries. (Tel.: 2150 7158)
- 2. All sections of the application form should be completed and wherever required, supporting documents should be submitted. Where the information sought is not applicable or not available, please fill in "N/A".
- 3. Please insert a " \checkmark " in the appropriate " \square ".
- 4. The application form must be duly signed and sealed by the person-in-charge of the project (i.e. the person authorised by the applicant) before submission.
- 5. If the project is to be jointly run by more than one organisation, the organisations should identify and nominate a principal organisation as the applicant regarding the project under application.
- 6. Please give clear and concise information. Additional pages may be attached to the form if necessary. Please ensure that all additional or supplementary information in relation to the application is provided together with the application form. Failure to provide sufficient and correct information may result in the application not being processed.
- 7. An applicant should not commence a project prior to approval of his application. Otherwise, the applicant shall be responsible for all losses arising thereof if and when the application is eventually rejected. The Fund (including the Director of Agriculture, Fisheries and Conservation, the Advisory Committee of the Sustainable Fisheries Development Fund (Advisory Committee) and the Secretariat) shall have no responsibility for any expenses incurred before a project is approved.

- 8. Information marked with an asterisk "*" in the application form which has been signed and submitted by the applicant will be disclosed upon approval of the application.
- 9. The personal data in the application form are provided by the applicant to the Agriculture, Fisheries and Conservation Department (AFCD) on a voluntary basis for the processing of the project application. The AFCD may disclose the personal data provided in the application form to other Government bureaux, departments or relevant private organisations for collecting further data, or request the applicant's data from other Government bureaux, departments or relevant private organisations. The personal data collected by the AFCD are for the sole purpose of vetting the relevant project application and monitoring the project. Requests for access to or correction of the personal data should be directed to the Secretariat.
- 10. It is an offence under the Prevention of Bribery Ordinance (Cap. 201) to offer advantages to any members of the Advisory Committee or staff of Government department(s) in any dealings in connection with the application submitted to the Fund. Any applications and signed agreements will be invalid if the applicant, or any of its directors, staff and agents commit the above offence. Report should be made to the Independent Commission Against Corruption (Tel.: 2526 6366) in case any person solicits advantages from the applicant in respect of the application. It is a criminal offence to deceive the Government by furnishing incorrect or false information intentionally. Once convicted, the applicant shall return the grant to the Government.
- 11. The Government reserves the right to disqualify an applicant/application on the grounds that the applicant/application has engaged, is engaging, or is reasonably believed to have engaged or be engaging in acts or activities that are likely to cause or constitute the occurrence of offences endangering national security or otherwise the exclusion is necessary in the interest of national security, or is necessary to protect Hong Kong's public interest, public morals, public order or public safety.
- 12. Softcopy of the application form can be downloaded from the following website: https://www.afcd.gov.hk/english/fisheries/sfdf/Application_Details.html
- 13. An applicant shall provide true, accurate and complete information that is required for the purpose of this application and shall inform the Secretariat in writing immediately if there is any change of information in an application after its submission. Failure to do so may cause delay in the processing of the application, and/or rejection of the application.
- 14. An applicant who gives incomplete, misleading or false information for the purpose of an application or obtains any grant under the Fund by deception is subject to prosecution. Any application which contains incomplete, misleading or false

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information shall be rejected and any grant obtained by deception shall be refunded to the Government upon demand with interest and recoverable as a civil debt.

15. The completed application form, together with the relevant project proposal and other supporting documents, should be emailed to sfdf@afcd.gov.hk or sent by post to the following address (for applications by post, the postmark date will be regarded as the date of application):

The Sustainable Fisheries Development Fund Secretariat

8/F, Cheung Sha Wan Government Offices

303 Cheung Sha Wan Road, Kowloon, Hong Kong

(Application for the Sustainable Fisheries Development Fund)

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Section A – Information about the Applicant

Name of organisation*	(Chinese)				
	(English)				
Registered office address					
Mailing address					
(if different from the above)					
Name of person-in-charge [†]	Mr./Ms. ÷		Post		
Tel.			Fax		
Mobile			Email		
The organisation is	the Con	npanies Ordinance (Year _	/R	egistration no.	_)
registered or incorporated	the Co-	operative Societies Ordina	nce (Reg	istration no.	_)
under#	others (please specify:			_)
Is the organisation a	☐ No				
charitable body exempt from	Yes (Ple	ease submit a copy of the r	elevant s	upporting document)	
tax under section 88 of the					
Inland Revenue Ordinance?					
Has the organisation	☐ No				
received subventions from	☐ Yes (please specify:				
the Government or any other					
sources?					_)
Background of organisation					
(E.g. year of establishment, objectives, source of					
funding, history,					
membership profile and core activities, etc.)					
(Please submit the latest audited accounts or certified					
management accounts.)^					
(Separate sheet(s) may be used if necessary.)					
Has/Is the organisation	☐ No				
previously/currently	☐ Yes (ple	ease specify the reference r	number o	f any previous application(s)/the	
applied/applying for a grant	title and	I the relative priority of the	other pr	oject(s) currently under	
from the Fund?	applicat	tion:			
(If any other application(s)					_
have been submitted, please specify the title and the					_
relative priority of the other project(s).)					_)
P. 5,000 (5).)					

Details of co-organiser/	1) Name of organisation:
_	1) Name of organisation.
supporting organisations	Contact person and tel.:
(Please provide written consent to involvement in	Role: Co-organiser/Supporting organisation [†]
this project by these organisations as	Duties:
appropriate.)	Fund granted (if any):
	Status: Confirmed/To be confirmed ^{\(\phi\)}
	2) Name of organisation:
	Contact person and tel.:
	Role: Co-organiser/Supporting organisation [†]
	Duties:
	Fund granted (if any):
	Status: Confirmed/To be confirmed [♦]
Technical advice from the	☐ Have sought/Will seek [†] technical advice from the AFCD, and the scope/
AFCD is sought	content is as follows (if applicable):

[†] The person-in-charge should be a person authorised by the applicant to submit the application. Please provide relevant supporting document(s).

Please delete as appropriate.

[#] Please insert a "✓" in the appropriate box(es). Please provide a copy of the organisation's registration document. To prove the legal status and nature of the organisation, please also submit a copy of the Memorandum and Articles of Association/Articles of Association or other supporting documents.

Please enclose the latest audited accounts of the organisation in respect of a financial year ending no earlier than three months prior to the date of this application; or if the organisation is not incorporated or otherwise not subject to mandatory accounting requirements, management accounts or unaudited accounts covering a period of at least 12 months ending no earlier than three months prior to the date of this application. The accounts must be certified by the chairperson or head of the organisation or by certified public accountants.

Section B – Project Details

Project title*	(Chinese)	
	(English)	
Nature of project*		☐ Capture fisheries ☐ Aquaculture ☐ Recreational fishing
		☐ Research and monitoring ☐ Others (please specify:)
Category of pr	oject*	☐ Innovative Project ☐ Application Project ☐ Support Services Project
		Please provide proof/justification indicating that the application falls under this
		category:
Any commerc	ial	☐ Yes ☐ No
element(s) inv	olved?*	Please specify the commercial element(s) involved in the application:
Project objecti	ves*	

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Brief description of the					
project*					
(Please briefly describe the contents of the project, how the proposed activities will meet the objectives of the project effectively, and how the applicant will measure the effectiveness of the project. Please also explain how the project outcomes and experiences will be shared with the fisheries industry.) (Separate sheet(s) may be					
used if necessary.)					
Direct beneficiaries and	Number of beneficiaries in the fisheries industry:				
their number*	Other stakeholders (if any, please specify:				
	Sector: No	o. of persons:			
	Sector: No	o. of persons:			
	Sector: No	o. of persons:)			
Project period*	From (day) (month)				
	to (day) (month)	(year) (completion date)			
	Lasting months				
Proposed report	☐ Proposed report submission dates are in accordance.	rdance with para. 7.4 of the			
submission dates	Application Guidelines (No need to fill in the t	able below)			
(Including: progress	☐ Proposed report submission dates are listed in the table below, with reasons				
reports, annual reports, final report, financial	provided:	T			
reports or audited accounts)	Category of report	Date			
	1.				
	2.				
	3.				
	4.				
	5.				
	6.				
Amount of grant sought					
(HK\$)*	HK\$:				
(Please provide a budget summary and projected cash flow in the format set out in <u>Annex 1</u> to this form.)					

Proposed schedule of	Instalment	Amount (HK\$)	Proposed disbursement date		
disbursement			(month/year)		
(Please complete this part	1.		/		
with reference to para. 6.7 of the Application	2.		/		
Guidelines, and in	3.		/		
accordance with the cash flow requirement and	4.		/		
progress of the project.)	5.		/		
	6.				
Proposed schedule of		(Only applicable to projects with c	commercial elements)		
recoupment	Instalment	Amount (HK\$)	Proposed recoupment date		
(Please complete this part		, , ,	(month/year)		
with reference to para.	1.		/		
6.16 of the Application Guidelines, and in	2.		/		
accordance with the cash flow requirement and	3.		/		
progress of the project.)	4.		/		
Is the project currently	□ No				
receiving a grant from any	☐ Yes (wit	h details as follows:			
other Government fund(s)	,	source:			
or private sponsorship (in	_	amount:			
kind or in cash)?		project:			
,	Others:)				
Is the project the subject of	□ No				
a separate application for a	Yes (with details as follows:				
grant from any other	Name of	f organisation:			
funding agencies	Funding	amount:			
(including public, private		tion progress:			
and overseas funds)?	Others:)		
Has the applicant ever run	☐ No				
any project of the	☐ Yes (wit	h details as follows:			
same/similar nature?					
)		

Summary of activities	Please complete Annex 2.
Expected project outcomes	Please complete Annex 3.
and impacts, methods of	
sharing outcomes/	
experiences of the project,	
and relevant assessment	
methods*	
Details of the project team	Please complete Annex 4.
Contact person for this	Name: Mr./Ms.*
project application	Relationship with the organisation:
	Tel:
	Email:

Declaration

I declare that:

- 1. The organisation <u>receives/does not receive</u>* subventions from the Government or other sources at present;
- 2. All activities seeking grant of the Fund will not be used for political, religious or commercial publicity purposes for any individuals or organisations;
- 3. The organisation <u>has/has not</u> applied for funds from other sources for the project or activities under application;
- 4. The project or activity under application has been/is being/is not being considered/funded by other funding organisations (including public, private and overseas organisations). In case the organisation applies for funds from other sources after submitting the application to the Sustainable Fisheries Development Fund, it will notify the Secretariat/Advisory Committee as soon as possible;
- 5. To the best of my knowledge,
 - □ the project under application has not duplicated work carried out by other groups[#];
 □ the project under application involves technology/mode of commercial operation which has achieved preliminary results but has not yet been widely applied in the local industry[#].
- 6. I have made a written declaration in the application in accordance with the Best Practice Checklist-Strengthening Integrity and Accountability-Government Funding Scheme Grantee's Guidebook compiled by the ICAC in relation to any actual, potential or perceived conflict of interest that may arise in the implementation of the proposed project, including conflict of interest with members of the Advisory Committee;
- 7. The information provided in the application is correct to the best of my knowledge;
- 8. I understand that the deliberate provision of incorrect or false information or withholding any material information in an attempt to obtain funding support from the Sustainable Fisheries Development Fund by deception is a criminal offence. Offenders shall be liable to prosecution and the application will become void. The AFCD may withhold or terminate the payment of the grant approved and any payment already made will be refunded with interest to the Government;
- 9. I understand that by signing and submitting an application subject to approval, the organisation consents to the disclosure from time to time by the Government of

information marked with an asterisk "*" contained therein without prejudice to its other rights and powers;

- 10. I understand that it is an offence under the Prevention of Bribery Ordinance (Cap. 201) to offer advantages to any members of the Advisory Committee or staff of Government department(s) in any dealings in connection with the application submitted to the Fund. Any applications and signed agreements will be invalid if the applicant, or its directors, staff and agents commit the above offence;
- 11. I understand that if I/the organisation/the application have/has engaged, am/is engaging, or am/is reasonably believed to have engaged or be engaging in acts or activities that are likely to cause or constitute the occurrence of offences endangering national security, the application may be disqualified or rejected; or in the interest of national security, or to protect Hong Kong's public interest, public morals, public order or public safety, the Government reserves the right to disqualify me/the organisation/the application;
- 12. In the event that the application is not successful, and without prejudice to other rights and powers of the Government, I **consent/do not consent*** to the disclosure from time to time by the Government of my name (or name of the organisation), title of the project, and the amount of the grant sought to the public for general information; and
- 13. The organisation understands and agrees to abide by the terms set out in the Sustainable Fisheries Development Fund–Application Guidelines and will comply with all the requirements laid down in the Agreement should the organisation receive any grant under the Fund for the project, including channelling back the net revenue to the Government.

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			Signature and Chop	
			of the Organisation	
Name of Person-in-charge	:	()
Hong Kong Identity Card No.	:			
Date	:			

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Please delete as appropriate.

 $^{^{\#}}$ Please insert a " \checkmark " in the appropriate box.

Personal Data Collection Statement

Purposes of Collection

The personal data and other related data provided by an applicant during the application process will be used by the Secretariat to process the application and to conduct research and surveys. The provision of personal data in the application is voluntary. However, if the applicant does not provide sufficient and correct data, the Agriculture, Fisheries and Conservation Department (AFCD) may not be able to process his application.

Classes of Transferees and Disclosure of Project Details

The personal data provided by means of the application may be disclosed to other Government bureaux, committees or departments, or the AFCD may request the applicant's data from other Government bureaux or departments for the purpose of processing the application, as well as conducting research and surveys. By signing and submitting the application subject to approval, the applicant consents to the disclosure from time to time by the Government of information marked with an asterisk "*" in the application form. The applicant is required to indicate in the application whether consent is given in the event that the application is not successful, and without prejudice to other rights and powers of the Government, to the disclosure from time to time by the Government of his name (or name of the organisation), the project title and the amount of grant sought to the public for general information.

Access to Personal Data

The applicant will have the right of access and correction with respect to the personal data and other related data as provided for in sections 18 and 22 and Principle 6 of Schedule 1 of the Personal Data (Privacy) Ordinance (Cap. 486). The applicant's right of access includes the right to obtain a copy of the personal data provided in the application. Such requests should be made in writing to the Secretariat.

			Signature and Chop	
			of the Organisation	
Name of Person-in-charge	:	()
Hong Kong Identity Card No.	:			
Date	:			

Note:

- 1. Please photocopy this form if more than one is required.
- 2. The form may be revised by the Fund as and when necessary.

Annex 1

Budget Summary and Projected Cash Flow (Please click here to fill in budget details in Excelform.)

	Projected Project Expenditure						<i>v</i>			
Expenses Category	Item Description	Quantity	Unit Cost	Total (HK\$) (a)	Fees & Charges	Internal Source	Other Sponsorship	Total Income (HK\$) (b)	Amount of grant sought from the Fund (HK\$) (c)=(a)-(b)	
Operating/Programme/ Research Costs										
Sub-total										
Staff Cost										
Sub-total										
Equipment (e.g. vessels, fish farms) Cost										
Sub-total										
Administration Cost	Insurance cost Auditing cost Office expenses (e.g. furniture, stationery and telephone bill, etc.)									
Sub-total	1 , ,									
Total										
total budget for the justifications. 2. If the project is to please advise on	bunts to 50% or more of the he project, please provide be supported by volunteers, the expected number of the nature of support and		Amount se	ought from the Fund: Quarter 1 Quarter 2 Quarter 3 Quarter 4	20XX	20XX	20XX	20XX		
assistance to be giv				Total						

Work Schedule and Activity Summary

(Please list out the schedule of all the work and activities under the proposed project in chronological order and provide the details of the activities.)

Proposed Activities (including Planning, Recruitment, etc.)	<u>Date</u>	<u>Venue</u>	Objectives, Contents and Anticipated No. of Participants

Proposed Activities (including Planning, Recruitment, etc.)	<u>Date</u>	<u>Venue</u>	Objectives, Contents and Anticipated No. of Participants

Expected Outcomes and Impacts of the Project and Relevant Assessment Methods (Separate sheet(s) may be used if necessary)

Expected Outcomes and Impacts of the Project
(E.g. sustainability of the project and its outcomes/impacts, promotional value and potentials of the project outcomes, contributions to the local fisheries
community and the fisheries industry as a whole)
Immediate/Short-term
Medium-term/Long-term

Methods of Sharing Project Outcomes/Experiences

Proposed Activities/Channels	Brief Description	Target
(e.g. sharing session/seminar/ workshop/publication)	Brief Description	Participants/No.

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Outcome Indicators (Indicators must be specific, measurable and ac	
evaluate project effectiveness) (e.g. number of beneficiaries (count beneficiaries)	
key activities, expected contributions to the fisheries industry, change	ges in output quantity/quality)
Key Indicator 1	☐ Registration Record of Participants
	□ Questionnaire
	☐ Follow-up Survey or Interview
	☐ Others (please specify:
Key Indicator 2	☐ Registration Record of Participants
	□ Questionnaire
	☐ Follow-up Survey or Interview
	☐ Others (please specify:
Key Indicator 3	☐ Registration Record of Participants
	□ Questionnaire
	☐ Follow-up Survey or Interview
	☐ Others (please specify:

Key Indicator 4	☐ Registration Record of Participants
	☐ Questionnaire
	☐ Follow-up Survey or Interview
	☐ Others (please specify:
)
Key Indicator 5	☐ Registration Record of Participants
	☐ Questionnaire
	☐ Follow-up Survey or Interview
	☐ Others (please specify:
)
Key Indicator 6	☐ Registration Record of Participants
	☐ Questionnaire
	☐ Follow-up Survey or Interview
	☐ Others (please specify:
)

Details of Project Team

(Please include all paid and non-paid members of the project. Separate sheet(s) may be used if necessary.

Justification should be given if existing staff are planned to be hired in the project.)

<u>Post</u>	Number	Qualifications Required	Responsibilities in the Project	Employment Mode	Amount of Time to be Contributed	Monthly Salaries (HK\$) (Including MPF Contributions)	Recruitment Method
		Education and/or work experience: Other expertise/knowledge/skill/ licence:		☐ Full-time ☐ Part-time	working days per month		☐ Open Recruitment ☐ Specific Person *Justification is required
		Education and/or work experience: Other expertise/knowledge/skill/ licence:		☐ Full-time ☐ Part-time	working days per month		☐ Open Recruitment ☐ Specific Person *Justification is required

<u>Post</u>	Number	Qualifications Required	Responsibilities in the Project	Employment Mode	Amount of Time to be Contributed	Monthly Salaries (HK\$) (Including MPF Contributions)	Recruitment Method
		Education and/or work experience: Other expertise/knowledge/skill/ licence:		☐ Full-time ☐ Part-time	working days per month		☐ Open Recruitment ☐ Specific Person *Justification is required
		Education and/or work experience: Other expertise/knowledge/skill/ licence:		☐ Full-time ☐ Part-time	working days per month		☐ Open Recruitment ☐ Specific Person *Justification is required

Checklist for Applicants

To help us process your application as quickly as possible, please kindly check that you have done the following when you submit the application form:

1.	comp	completed all sections of the application form.					
2.		had the declaration signed by the person authorised by the applicant to make th application, and affixed with the organisation's chop.					
3.	attac	hed the following documents: documentary proof that the person-in-charge has been authorised by the applicant to make the application copies of registration/incorporation document(s) of the organisation (e.g. Incorporation Form, Business Registration Certificate, Certificate of Incorporation) a copy of the Business Registration Certificate of the organisation (if applicable) documentary proofs of the organisation's nature (copies of its Memorandum and Articles of Association or Articles of Association, or other documentary proof(s):					
		background information of the organisation (separate sheet(s) may be used if needed) a set of the latest audited accounts or certified management accounts a copy of the document showing the bank account number used exclusively for the project (if applicable) proofs of collaboration with co-organisers/supporting organisations (if applicable) curricula vitae of the person-in-charge and members of the project (if applicable) the budget (if applicable) approval(s) required for the project (if applicable), including:					
		completed declaration(s) of interest (if applicable) project proposal (recommended to provide) proof of financial capacity (e.g. confirmation of funding from private funding sources, or bank statements) (if applicable) a compact disk copy of the completed application form and project proposal (in Word format) (optional) any relevant supplementary information related to the project (if applicable) including:					

Please be reminded that failure to provide the required documents or information may result

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in the application being rejected.

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